

# Compliance Overview

## *Fiscal & Administrative Review Assistance for IDHS Providers*

### Required certifications to comply with your grant award:

IDHS providers are responsible for compliance certifications as part of the grant award. These include:

- Bribery
- Bid Rigging
- Debt to State
- International Boycott
- Dues and Fees
- Pro-Children Act
- Drug-Free Workplace
- Motor Voter Law
- Debarment
- Illinois Use Tax
- Clean Air Act and Clean Water Act
- Non-procurement Debarment and Suspension
- Grant for the Construction of Fixed Work
- Health Insurance Portability and Accountability Act
- Criminal Convictions
- Illinois Works Review Panel
- Forced Labor Act
- Environmental Protection Act
- Goods from Child Labor Act
- Federal Funding Accountability and Transparency Act

### Consequences of non-compliance

If a provider does not comply with required certifications, the following actions may be taken by IDHS:

- Enforcement actions such as disallowing costs, withholding further awards in whole or in part, suspension of awards until corrective actions are taken
- Placement of additional and specific conditions in your award such as the submission of more frequent financial reports and additional project monitoring
- Debarment of your organization and the termination of the award

### Objection, hearings, and appeals

Your organization has an opportunity to object and provide documentation to challenge a suspension or termination action as outlined in the Uniform Grant Agreement

### How your organization can help avoid non-compliance

- Become familiar with the requirements in your award, Uniform Guidance, and other applicable laws and regulations
- Implement strong internal controls to mitigate instances of non-compliance
- If placed on a Corrective Action Plan, work towards resolution of findings
- Obtain prior approval when required by your grant award of significant changes (e.g., costs, scope of work, period of performance)