

**ILLINOIS DEPARTMENT OF HUMAN SERVICES,
DIVISION OF MENTAL HEALTH
Consumer Eligibility, Enrollment/Registration, and Benefits
(FY17)**

1. Eligibility

Individuals eligible for DHS/DMH funding of their mental health services must be **Medicaid Eligible** and need of mental health services for a mental disorder or suspected mental disorder.

The provision of information through the enrollment/registration of an individual with DHS/DMH establishes the eligibility for a qualified individual.

2. Criteria for Eligibility

a. Medicaid Eligible Criteria

To be eligible for this group an individual must:

- be in need of mental health services for a mental disorder or suspected mental disorder,
- have a qualifying diagnosis as listed in the DHS/DMH Rule 132 Diagnosis Codes List at:
http://www.dhs.state.il.us/OneNetLibrary/27896/documents/By_Division/MentalHealth/ProviderManual/DSM5-ICD-10-Dx-Eff-10-1-16-rev3-28-17.pdf
- not be enrolled in the Illinois Healthcare and Family Services' Integrated Care Program,
 - be enrolled/registered with DHS/DMH, and
 - be currently eligible under the state's Medicaid program.

Community mental health service agencies must document the need for mental health services, and they can determine an individual's eligibility status under the state's Medicaid program by requesting this information from the individual. In addition, providers have access to a web-based system maintained by the Illinois Department of Healthcare and Family Services (the "MEDI System") that permits determination of an individual's current public benefit status, including their Medicaid eligibility status. This web-site and instructions for its use can be found at: <http://www.myhfs.illinois.gov/>.

FOR ADULTS:

Target Population: Serious Mental Illness (SMI) for DHS/DMH funded MH services

Age: Must be 18 years of age or older

Individuals with serious mental illness are adults whose emotional or behavioral functioning is so impaired as to interfere with their capacity to remain in the community without supportive treatment. The mental impairment is severe and persistent and may result in a limitation of their capacities for primary activities of daily living, interpersonal relationships, homemaking, self-care, employment or recreation. The mental impairment may limit their ability to seek or receive local, state or federal assistance such as housing, medical and dental care, rehabilitation services, income assistance and food stamps, or protective services.

Must meet I + (II or III):

NOTE: These values are to be used for any diagnosis made on or after 10/01/2016.

I. Diagnoses:

The client must have one of the following qualifying diagnoses that meets DSM-5 criteria and which is the focus of the treatment being provided. Refer to section entitled **Target Population: Serious Mental Illness (SMI) for DHS/DMH funded MH services** of the DHS/DMH Rule 132 Diagnosis Codes List posted at:

http://www.dhs.state.il.us/OneNetLibrary/27896/documents/By_Division/MentalHealth/ProviderManual/DSM5-ICD-10-Dx-Eff-10-1-16-rev3-28-17.pdf

II. Treatment History (Treatment history covers the client's **lifetime** treatment and is restricted to **treatment for the DSM 5 diagnosis specified in Section I.**)

To qualify under this section, the client must meet at least ONE of the criteria below:

<input type="checkbox"/>	A. Continuous treatment of 6 months or more, including treatment during adolescence, in one, or a combination of, the following modalities: inpatient treatment, day treatment or partial hospitalization.
<input type="checkbox"/>	B. Six months continuous residence in residential programming (e.g., long-term care facility or assisted, supported or supervised residential programs)
<input type="checkbox"/>	C. Two or more admissions of any duration to inpatient treatment, day treatment, partial hospitalization or residential programming within a 12-month period.
<input type="checkbox"/>	D. A history of using the following outpatient services over a 1 year period, either continuously or intermittently: psychotropic medication management, case management, outreach and engagement services.
<input type="checkbox"/>	E. Previous treatment in an outpatient modality, and a history of at least one mental health psychiatric hospitalization.

III. Functional Criteria (Functional criteria has been purposely narrowed to descriptors of the most serious levels of functional impairment and are not intended to reflect the full range of possible impairment.) To qualify under this section, the client must meet at least TWO of the criteria, A1 through A7, or B1 as a result of the DSM-5 diagnosis specified in Section I. The client:

- A. 1) Has a serious impairment in social, occupational or school functioning.
- 2) Is unemployed or working only part-time due to mental illness and not for reasons of physical disability or some other role responsibility (e.g., student or primary caregiver for dependent family member); is employed in a sheltered setting or supportive work situation, or has markedly limited work skills.
- 3) Requires help to seek public financial assistance for out-of-hospital maintenance (e.g., Medicaid, SSI, SSDI, other indicators).
- 4) Does not seek appropriate supportive community services, e.g. recreational, educational or vocational support services, without assistance.
- 5) Lacks supportive social systems in the community (e.g., no intimate or confiding relationship with anyone in their personal life, no close friends or group affiliations, is highly transient or has inability to co-exist within family setting).
- 6) Requires assistance in basic life and survival skills (must be reminded to take medication, must have transportation to mental health clinic and other supportive services, needs assistance in self-care, household management, food preparation or money management, etc., is homeless or at risk of becoming homeless).
- 7) Exhibits inappropriate or dangerous social behavior which results in demand for intervention by the mental health and/or judicial/legal system.

B. The client does not currently meet the functional criteria listed above, however, the client: 1) is currently receiving treatment, has a history within the past 5 years of functional impairment meeting TWO of the functional criteria listed above which persisted for at least 1 months, and there is documentation supporting the professional judgment that regression in functional impairment would occur without continuing treatment.

FOR CHILDREN:

Target Population: Serious Emotional Disturbance (SED) for DHS/DMH funded MH services

Age: Birth through 17 years of age

Individuals determined on the basis of a mental health assessment to have a serious emotional disturbance and display serious cognitive, emotional, and behavioral dysfunctions.

Must meet I + (II or III):

NOTE: These values are to be used for any diagnosis made on or after 10/01/2016.
<u>Diagnoses:</u>
The client must have one of the following qualifying diagnoses that meets DSM-5 criteria and which is the focus of the treatment being provided. Refer to section entitled Target Population: Serious Emotional Disturbances (SED) for DHS/DMH funded MH services of the DHS/DMH Rule 132 Diagnosis Codes List posted at: http://www.dhs.state.il.us/OneNetLibrary/27896/documents/By_Division/MentalHealth/ProviderManual/DSM5-ICD-10-Dx-Eff-10-1-16-rev3-28-17.pdf

II. Treatment History (Treatment history cover's the client's **lifetime** treatment and is restricted to **treatment for a DSM 5 diagnosis specified in Section I.**)

The youth must meet at least ONE of the criteria below:

- ___ A. Continuous treatment of 6 months or more in one, or a combination of, the following: inpatient treatment; day treatment; or partial hospitalization.
- ___ B. Six months continuous residence in a residential treatment center.
- ___ C. Two or more admissions of any duration to inpatient treatment, day treatment, partial hospitalization or residential treatment programming within a 12 month period.
- ___ D. A history of using the following outpatient services over a 1 year period, either continuously or intermittently: psychotropic medication management, case management or SASS/intensive community based services.
- ___ E. Previous treatment in an outpatient modality and a history of at least one mental health psychiatric hospitalization.

III. Functional Criteria (Functional criteria has been purposely narrowed to **descriptors of the most serious levels** of functional impairment and **are not intended** to reflect the full range of possible impairments.)

The youth must meet criteria for functional impairment in TWO of the following areas. The functional impairment must: 1) be the result of the mental health problems for which the child is or will be receiving care and 2) expected to persist in the absence of treatment.

___ A.	Functioning in self care - Impairment in age-appropriate self care skills is manifested by a person's consistent inability to take care of personal grooming, hygiene, clothes and meeting of nutritional needs.
___ B.	Functioning in community - Impairment in community functioning is manifested by a consistent lack of age appropriate behavioral controls, decision-making, judgment and

value systems which results in potential involvement or involvement the juvenile justice system.

_____ C. Functioning in social relationships - Impairment of social relationships is manifested by the consistent inability to develop and maintain satisfactory relationships with peers and adults.

_____ D. Functioning in the family - Impairment in family functioning is manifested by a pattern of 1) disregard for safety and welfare of self or others, e.g., fire setting, serious and chronic destructiveness, 2) significantly disruptive behavior exemplified by repeated and/or unprovoked violence to siblings and/or parents, or 3) inability to conform to reasonable limitations and expectations. The degree of impairment requires intensive (i.e. beyond age appropriate) supervision by parent/caregiver and may result in removal from the family or its equivalent.

_____ E. Functioning at school - Impairment in functioning at school is manifested by the inability to pursue educational goals in a normal time frame - e.g. consistently failing grades, repeated truancy, expulsion, property damage or violence towards others --that cannot be remediated by a classroom setting (whether traditional or specialized).

Eligible Population

Age: Birth and older

Must have both I and II:

<p><u>I. Diagnostic Criteria:</u></p> <p>"Mental illness" as used herein refers to "a mental or emotional disorder verified by a diagnosis contained in the DSM-5 or ICD-10-CM which substantially impairs the person's cognitive, emotional and /or behavioral functioning, excluding" the conditions listed in the column to the right.</p> <p>The Client must have one of the diagnoses on the <u>qualified listing</u> of DSM-5 diagnostic and ICD-10-CM Codes DHS/DMH Rule 132 Diagnosis Codes posted at http://www.dhs.state.il.us/OneNetLibrary/27896/documents/By_Division/MentalHealth/ProviderManual/DSM5-ICD-10-Dx-Eff-10-1-16-rev3-28-17.pdf Refer to section entitled Eligible Population for DHS/DMH funded MH Services.</p>	<p><u>Excluded Diagnoses:</u></p> <p>Other Conditions That May Be a Focus of Clinical Attention (V-codes)</p> <p>Organic disorders such as dementia and those associated with known or unknown physical conditions such as hallucinosis, amnesic disorder and delirium</p> <p>Psychoactive substance induced organic mental disorders</p> <p>Mental retardation or pervasive developmental disorders associated with mental retardation.</p> <p>Psychoactive substance use disorders</p> <p>For purposes of eligibility, this does not exclude individuals with a dual diagnosis of mental retardation or psychoactive substance abuse disorders as long as it co-occurs with an eligible diagnosable disorder to the left which is the principal diagnosis.</p>
<p><u>II. Impairment</u></p> <p>_____ Has significant impairment in an important area of life functioning as a result of the mental disorder identified in diagnostic criteria above and as indicated on the Global Level of Functioning (GAF) or Children's Global Assessment Scale (CGAS).</p>	

3. Enrollment/Registration with DHS/DMH

In order for a community mental health service provider to receive payment from DHS/DMH for mental health services provided to an individual, the individual must be enrolled or registered with DHS/DMH. This enrollment/registration process supplies the information necessary to determine the individual's eligibility to receive services funded by DHS/DMH, and the services and amount of services they qualify for. It also is an important component of accountability for state funds and source of information necessary for effective management of a public mental health service system.

DHS/DMH expects the information provided in the enrollment/registration process to be complete and accurate. Failure to supply complete and correct information may lead to the individual being incorrectly determined as ineligible for funding of their services by DHS/DMH, or placed in the incorrect eligibility group.

A critical component of enrollment/registration of individual is the use of their correct State of Illinois Recipient Identification Number (RIN) when registering the individual with DMH. If an individual does not have a RIN or cannot provide it, community mental health service agencies have access to a system to obtain this information. The Illinois Department of Human Services maintains a web-based system (the "e-RIN System") that permits determination of an individual's RIN or obtaining a RIN for an individual who does not yet have one. This web-site and instructions for its use can be found at:

<http://www.dhs.state.il.us/page.aspx?item=32574>.

Instructions for the process of enrolling/registering an individual with DHS/DMH can be found at: http://www.illinoismentalhealthcollaborative.com/provider/prv_information.htm.

4. Service benefits for eligible consumers

a. Activities and services funded by capacity grants

Individuals may be eligible for activities and services supported by capacity grant funding for those Providers funded for specific capacity grants according to the requirements of the grants.

b. Medicaid Eligible

Individuals in this group are eligible to have all community mental health services funded by DHS/DMH paid for by DHS/DMH as long as these services are determined to be medically necessary.

5. Required information for six-month updates for existing consumers

DHS/DMH requires that the following fields be updated at least every six months. The updating of these fields at six months intervals will ensure more accurate reporting of the consumer's status and eligibility determination.

- Income (Household and Client)
- Household Size
- Household Composition
- Education Level
- Military Status
- Employment Status
- Court/Forensic Treatment
- MH Residential Arrangement
- Justice System Involvement
- Diagnosis Information
- CGAS or GAF Score
- Client Functioning Children and Adolescent or Adult
- History of Illness Information