

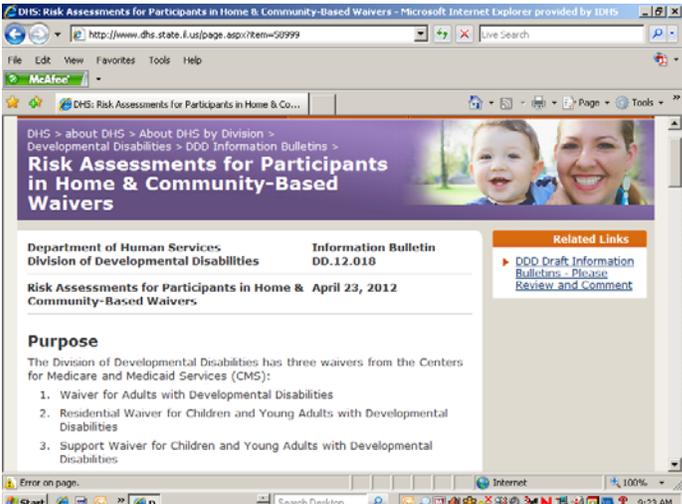


RISK ASSESSMENT FOR PARTICIPANTS IN HOME & COMMUNITY-BASED WAIVERS

Illinois Department of Human Services
Division of Developmental Disabilities

Initial presentation May 2012
Effective July 1, 2012
Updated December 1, 2015

INFORMATION BULLETIN DD.12.018



DHS: Risk Assessments for Participants in Home & Community-Based Waivers - Microsoft Internet Explorer provided by DHS

http://www.dhs.state.il.us/page.aspx?item=50999

DHS > about DHS > About DHS by Division > Developmental Disabilities > DDD Information Bulletins > **Risk Assessments for Participants in Home & Community-Based Waivers**

Department of Human Services
Division of Developmental Disabilities

Information Bulletin
DD.12.018

Risk Assessments for Participants in Home & Community-Based Waivers April 23, 2012

Purpose

The Division of Developmental Disabilities has three waivers from the Centers for Medicare and Medicaid Services (CMS):

1. Waiver for Adults with Developmental Disabilities
2. Residential Waiver for Children and Young Adults with Developmental Disabilities
3. Support Waiver for Children and Young Adults with Developmental Disabilities

Related Links

- ▶ [DDD Draft Information Bulletins - Please Review and Comment](#)

2

ON DHS WEBSITE

<http://www.dhs.state.il.us/page.aspx?item=58999>



3

CREATION OF INFORMATION BULLETIN

- In response to review findings
 - FY11 83%
 - FY12 87%
- Clarify expectations (lots of confusion about what should be included)
- Providers asking for “acceptable” assessments

4

WHO?

- Applies to all persons served in any of the DD waivers
 - Adults
 - Children's Support
 - Children's Residential
- All providers
 - Not necessary for each provider serving an individual to complete a separate assessment
 - Must be on file with each provider



5

WHAT?

- Risk is defined as:
 - (Noun) exposure to the chance of injury or loss; a hazard or dangerous chance:
- Everyone is at risk
- Look for risks above and beyond what is typical for others of the same age without disabilities
- Consider current risk and risks associated with the individual's future goals and preferences

6

DIVISION OF DEVELOPMENTAL DISABILITIES – OUTCOMES FOR ALL PERSONS



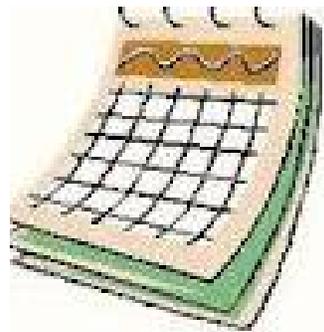
People...

- Will be healthy and safe
- Will have real relationships with family and friends
- Who want to work will have a paying job
- Will make decisions about their lives
- Will have opportunities to contribute to their community

7

WHEN?

- Annually
- More often if indicated by need



8

How?

- Commercial assessment that evaluates risk
- Develop own assessment
- Must include minimal components outlined in Information Bulletin
- Must be used to guide the service planning process



9

IDENTIFYING INFORMATION

- Name of person receiving services
- Assessment date
- Name and title of assessor
- How was information gathered?
 - Interview?
 - Observation?
 - Record review?
 - Assessor direct knowledge?
 - Others? (guardian, paid staff, family members, etc.)
 - Multiple methods and sources are okay

10

ASSESSMENT PROCESS

- For each domain:
- Narrative information
 - Overview of current skills
 - Potential and known risks
 - Inhibit individual from pursuing goals and fully participating in integrated settings
- Some domains may not be applicable
 - Include brief explanation why the domain is not applicable and, therefore, no risks or potential risks are evident

11

DOMAINS

- Define for each individual
 - What is relevant?
- Examples are intended to offer explanation of types of information that might be included.
- Not a complete list
- Add/expand, as needed



12

HEALTH/MEDICAL DOMAIN



- chronic medical conditions
- compliance with physician recommendations
- dietary needs
- swallowing difficulties
- medication side effects
- mobility concerns (including ability to reposition self to reduce likelihood of pressure ulcers)
- sensory impairments
- frequent falls
- other similar issues

13

SAFETY (HOME)

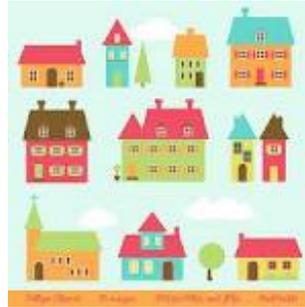


- environmental hazards
- personal safety
- awareness of hazards
- others in the home
- emergency situation response
- cooking
- water temperatures
- chemicals
- cleaning products
- other similar issues

14

SAFETY (COMMUNITY)

- community access
- traffic
- strangers
- navigation
- supervision
- other similar issues



15

SAFETY (SCHOOL/WORKPLACE)

- conflict resolution with others
- use of tools and equipment on the job
- avoidance of dangers associated with tasks
- dangers posed by other persons at the school or worksite
- other similar issues

16

FINANCES

- financial exploitation
- income loss
- insurance/benefit loss
- identity theft
- other similar issues



17

BEHAVIORAL

- display of inappropriate/maladaptive behaviors and interventions (including risks to self and risks to others)
- inappropriate sexual behaviors
- other similar issues

18

SUPPORTS

- presence and involvement of natural supports (family and friends)
- interpersonal skills
- communication of needs (ability to ask for help)
- other similar issues.

19

RECOMMENDATIONS

- supports that need to be in place to address risks



20

SERVICE PLANNING USING RISK INFORMATION

- Consider “acceptable risks”
 - Choice
 - Independence
 - Community integration
- Safeguards
 - Now in place
 - Needed
- Responsible parties



21

IMPLEMENTATION

- In place for everyone by June 30, 2013
- Provider maintains a copy of the form with the ISP on file
- Update annually
- Ensure each risk is addressed

22

COMMON PROBLEMS

- Two full years of review activity since implementation. Common problems –
 - Risks on assessment do not match what is addressed within the service plan
 - Risks are not prioritized
 - Significant risks not addressed by a plan to mitigate the risk
 - Assumption that 24 hour supervision means no risks

23

HOW MUCH NEEDS TO BE INCLUDED?

- A good assessment tool is a place to start but no tool is a substitute for knowledgeable staff
- Focus on what staff and support people need to know to help the person stay safe
 - New staff person/support without any prior knowledge of the person

24

QUESTIONS?

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25