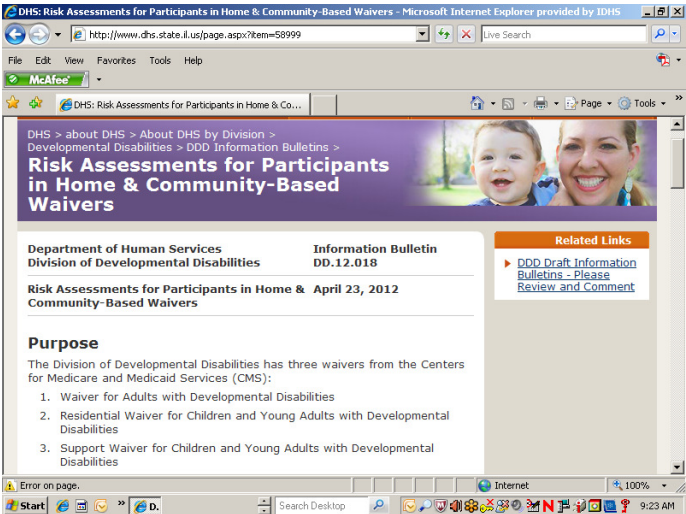


RISK ASSESSMENT FOR PARTICIPANTS IN HOME & COMMUNITY-BASED WAIVERS

Illinois Department of Human Services
Division of Developmental Disabilities

Training presented May 2012
Effective July 1, 2012

INFORMATION BULLETIN DD.12.018



The screenshot shows a Microsoft Internet Explorer browser window. The address bar contains the URL: <http://www.dhs.state.il.us/page.aspx?ken=58999>. The page content includes a navigation menu, a main heading "Risk Assessments for Participants in Home & Community-Based Waivers", and a section titled "Information Bulletin DD.12.018" dated April 23, 2012. The "Purpose" section states that the Division of Developmental Disabilities has three waivers from the Centers for Medicare and Medicaid Services (CMS):

1. Waiver for Adults with Developmental Disabilities
2. Residential Waiver for Children and Young Adults with Developmental Disabilities
3. Support Waiver for Children and Young Adults with Developmental Disabilities

There is also a "Related Links" section with a link to "DDD Draft Information Bulletins - Please Review and Comment".

2

ON DHS WEBSITE

<http://www.dhs.state.il.us/page.aspx?item=58999>



3

CREATION OF INFORMATION BULLETIN

- In response to review findings
 - FY11 83%
 - FY12 87%
- Clarify expectations (lots of confusion about what should be included)
- Providers asking for “acceptable” assessments

4

WHO?

- Applies to all persons served in any of the DD waivers
 - Adults
 - Children's Support
 - Children's Residential
- All providers
 - Not necessary for each provider serving an individual to complete a separate assessment
 - Must be on file with each provider



5

WHEN?

- Annually
- More often if indicated by need
- Implementation/phase in discussed later



6

How?

- Commercial assessment that evaluates risk
- Develop own assessment
- Must include minimal components outlined in Information Bulletin
- Must be used to guide the service planning process



7

IDENTIFYING INFORMATION

- Name of person receiving services
- Assessment date
- Name and title of assessor
- How was information gathered?
 - Interview?
 - Observation?
 - Record review?
 - Assessor direct knowledge?
 - Others? (guardian, paid staff, family members, etc.)
 - Multiple methods and sources are okay

8

ASSESSMENT PROCESS

- For each domain:
- Narrative information
 - Overview of current skills
 - Potential and known risks
 - Inhibit individual from pursuing goals and fully participating in integrated settings
- Some domains may not be applicable
 - Include brief explanation why the domain is not applicable and, therefore, no risks or potential risks are evident

9

DOMAINS

- Define for each individual
 - What is relevant?
- Examples are intended to offer explanation of types of information that might be included.
- Not a complete list
- Add/expand, as needed



10

HEALTH/MEDICAL DOMAIN



- chronic medical conditions
- compliance with physician recommendations
- dietary needs
- swallowing difficulties
- medication side effects
- mobility concerns (including ability to reposition self to reduce likelihood of pressure ulcers)
- sensory impairments
- frequent falls
- other similar issues

11

SAFETY (HOME)



- environmental hazards
- personal safety
- awareness of hazards
- others in the home
- emergency situation response
- cooking
- water temperatures
- chemicals
- cleaning products
- other similar issues

12

SAFETY (COMMUNITY)

- community access
- traffic
- strangers
- navigation
- supervision
- other similar issues



13

SAFETY (SCHOOL/WORKPLACE)

- conflict resolution with others
- use of tools and equipment on the job
- avoidance of dangers associated with tasks
- dangers posed by other persons at the school or worksite
- other similar issues

14

FINANCES

- financial exploitation
- income loss
- insurance/benefit loss
- identity theft
- other similar issues



15

BEHAVIORAL

- display of inappropriate/maladaptive behaviors and interventions (including risks to self and risks to others)
- inappropriate sexual behaviors
- other similar issues

16

SUPPORTS

- presence and involvement of natural supports (family and friends)
- interpersonal skills
- communication of needs (ability to ask for help)
- other similar issues.

17

RECOMMENDATIONS

- supports that need to be in place to address risks



18

SERVICE PLANNING USING RISK INFORMATION

- Consider “acceptable risks”
 - Choice
 - Independence
 - Community integration
- Safeguards
 - Now in place
 - Needed
- Responsible parties



19

IMPLEMENTATION

- Use prior to all ISPs developed on or after July 1, 2012
- As annual reviews and ISP revisions occur beginning July 1, 2012
- In place for everyone by June 30, 2013
- Provider maintains a copy of the form with the ISP on file

20

QUESTIONS?

Jayma Tucker
(217) 785-6172

Jayma.Tucker@illinois.gov

Additional Training Sessions:

5/30/12 1:30 pm

5/31/12 9:00 am

6/5/12 1:30 pm

6/7/12 9:30 am

21

CEUs

- Total 2 CEUs for this session
- Documentation
 - Handouts (contact Jayma by email)
- This session was not recorded
- Prior to logging off...send a message...include number of people participated at your site

22